



Elective Professional Client Protection Rights

If you have questions, please email clientservices@icmcapital.co.uk

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ICM Capital Limited is authorised and regulated by the Financial Conduct Authority (FCA) Register Number: 520965.

Registered address: ICM Capital Limited, Level 30, 122 Leadenhall Street, London EC3V 4AB, United Kingdom.

YOUR INVESTMENT HOUSE

Protections you'll keep

- Money you hold with ICM Capital will remain in a segregated client bank account, unless we inform you otherwise.
- As an individual you're eligible for Financial Services Compensation Scheme (FSCS) protection for up to £85,000 that you hold with ICM, should the firm go out of business. (See FSCS <https://www.fscs.org.uk/>).
- If you are trading in a personal ('consumer') capacity, you should be eligible to use the Financial Ombudsman Service (FOS). If you are unsure whether its service will apply to you, please contact the FOS directly.
- We must take all reasonable steps to obtain the best possible results for clients when executing orders or when placing orders with, or transmitting to, a third party.
- You will have negative balance protection. Should your account fall into a negative balance, you will be not be obliged to make additional payments – meaning losses cannot exceed deposits.

Protections you'll waive

- Leverage restrictions applicable to retail clients do not apply to Professional Clients. But remember, with increased leverage comes increased risk.
- As you understand the risks associated with trading complex financial products, we will not impose product restrictions upon you.
- We may not provide you with any standardised risk warnings as you already understand the associated risks.
- We may use more sophisticated language when communicating with Professional Clients. However, we'll always communicate in a clear, fair and not misleading way.
- You will not have the right to request a different categorisation-for example, if you wish to be afforded a higher level of regulatory protection. (ICM do not provide retail client services).